



07/697830

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L.W.N.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT of:  
John C. HUANG, et al.

Group Art Unit: 2508

Patent No.: 5,140,386

Examiner: Loke, Steven

Issue Date: August 18, 1992

Title: HIGH ELECTRON MOBILITY TRANSISTOR

**REQUEST FOR CERTIFICATE OF CORRECTION  
UNDER 35 U.S.C. §255 AND PURSUANT TO 37 C.F.R. §1.323  
(Applicant Mistake)**

Mail Stop Certificate of Correction  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate  
JAN 17 2008  
of Correction**

Sir:

Attached please find a completed Certificate of Correction (PTO-SB/44) for correction of the above-identified U.S. patent. Issuance of the Certificate is respectfully requested.

In a recent review of U.S. Patent No. 5,140,386, patentees note several minor errors in claims 1, 2, and 15 in the Letters Patent. Two of the errors, i.e., in claims 1 and 15, are the as a result of an error by the U.S. Patent and Trademark Office, and the third error, in claim 2, is the result of an error made by the Applicants.

The mistakes being corrected occurred in good faith and are (1) of a clerical nature, (2) based on a typographical error, and/or (3) a mistake of minor character. Accordingly, the requested correction does not constitute an issue of new matter and does not require further consideration or undue work on the part of the Office.

Pursuant to §1.20(a), the Commissioner is authorized to charge the requisite fee of \$100.00 to Deposit Account No. 03-3975 under Attorney Dkt. No.. 073933-0000001. If any further government fees are necessary, the Commissioner is hereby authorized to charge any deficient fees to this same Deposit Account and Dkt. No.

If there are any remaining questions with respect to this matter, please do not hesitate to contact the undersigned at the number below.

Respectfully submitted,  
PILLSBURY WINTHROP SHAW PETERMAN LLP  
# 41,381 for / RECEIVED-USPTO  
Patent Publication

Jack S. Barufka  
Reg. No. 37087

**JAN 17 2008**

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CNGUYEN2 00000075 033975 5140386  
01 FC:1811 100.00 DO

Date: January 14, 2008  
P.O. Box 10500  
McLean, VA 22102

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 5,140,386  
APPLICATION NO.: 07/697,830  
ISSUE DATE : August 18, 1992  
INVENTOR(S) : HUANG et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 7, line 60:  
Replace "III-material" with -- III-V material --.

Column 7, line 67:  
After "channel" insert -- layer --.

Column 10, line 19:  
After "about" insert -- 2 --.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Patent Section

JAN 17 2008

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

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APPLICATION NO. : 07/697830  
DATED : August 18, 1992  
INVENTOR(S) : Huang et al.

Page 1 of 1

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Signed and Sealed this

Twenty Second Day of April, 2008

A handwritten signature in black ink, appearing to read "Jon W. Dudas". The signature is stylized with a large, looped initial "J" and a cursive "Dudas".

JON W. DUDAS  
*Director of the United States Patent and Trademark Office*